

#3.

[illegible]

OFFICE OF PETITIONS

*Unassigned*

T30364US

**Certificate of Mailing**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Box Petition, Assistant Commissioner for Patents, Washington, D.C. 20231, on the date of signature below.

Date \_\_\_\_\_

130.00 OP

### **Remarks**

In response to the Notice, Applicant contends that all figures relating to the original application were in fact received by the PTO on August 22, 2000. That is, no items were omitted from the original non-provisional application papers. It may have appeared to the Office that Figure 10 was omitted because Figure 10 was identified in the drawing list on page 6 of the original application, but there was no drawing labeled "Figure 10" submitted with the original application. Despite this appearance, there was no omission because Figure 10 does not exist, and the reference to Figure 10 on page 6 of the original application is a typographical error.

The original application papers included ten pages of drawings numbered 1-8, 8A, and 9, rather than 1-10. The description of figures on page 6 of the original application was erroneously numbered Figures 1-10. As a result, the drawing labeled "Fig 8A" is erroneously described on page 6 of the original application as "Fig. 9," and the drawing labeled "Fig. 9" is erroneously described on page 6 of the original application as "Fig. 10." A copy of the drawings and page 6 of the original application are enclosed for reference.

In a Preliminary Amendment submitted today, Applicant has corrected the typographical errors that appear in the reference numbers of the figures on page 6 of the original application. A copy is enclosed for reference.


### **Action Requested**

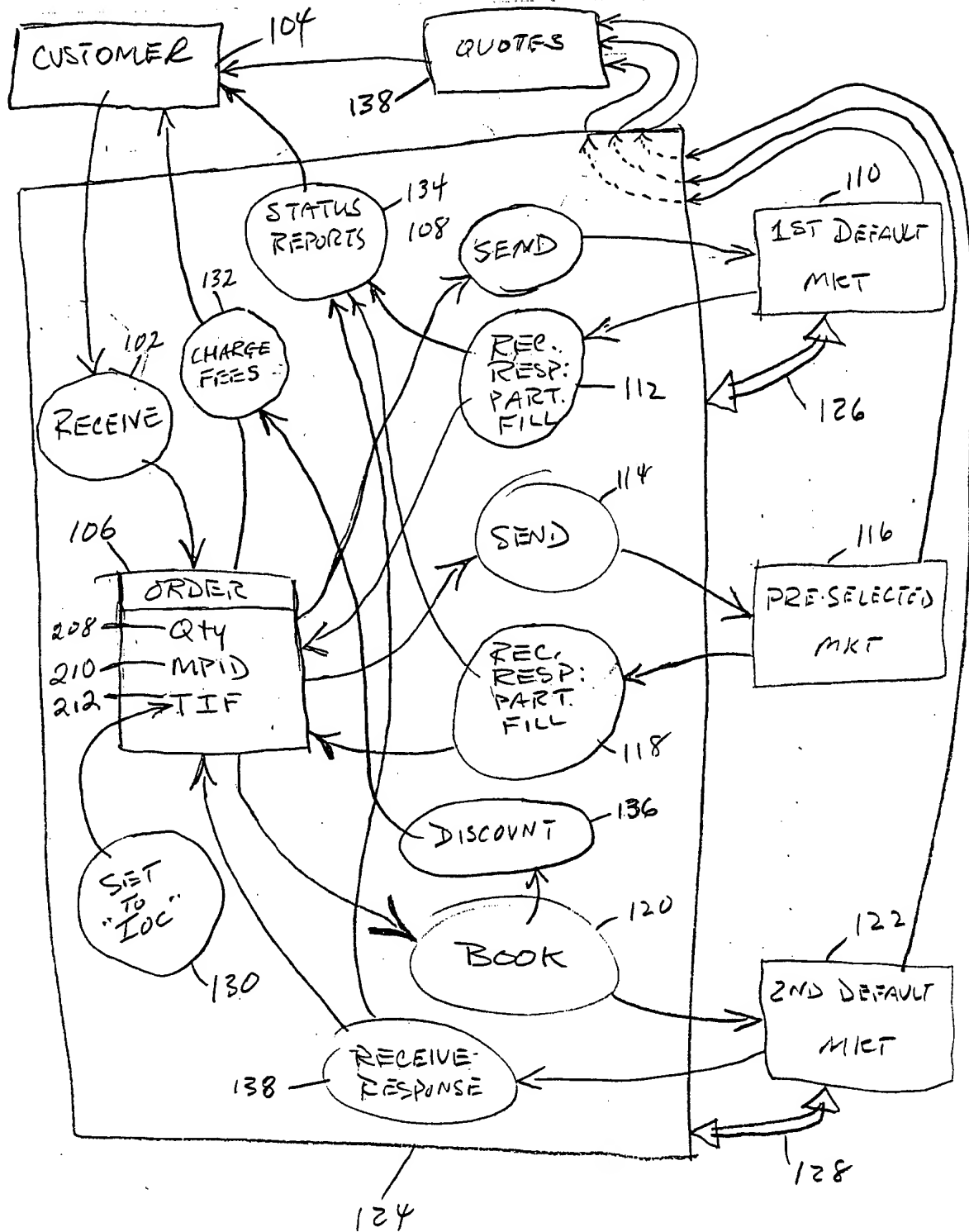
In consideration of the foregoing, Applicant hereby requests the Commissioner to determine that no items have been omitted from the original non-provisional application papers, and that August 22, 2000 is the correct filing date of the application. Applicant further requests that upon determination that all items were received by the Office, the Commissioner refund the accompanying petition fee of \$130.00.

Finally, enclosed is our check in the amount \$130.00 to cover the fee associated with this Petition. The Commissioner is hereby authorized to charge any deficiency or credit any overpayment required under 37 C.F.R. 1.17(i) to Deposit Account No. 01-2511.

Respectfully submitted,

Date: 11.2.2000

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**COPY**

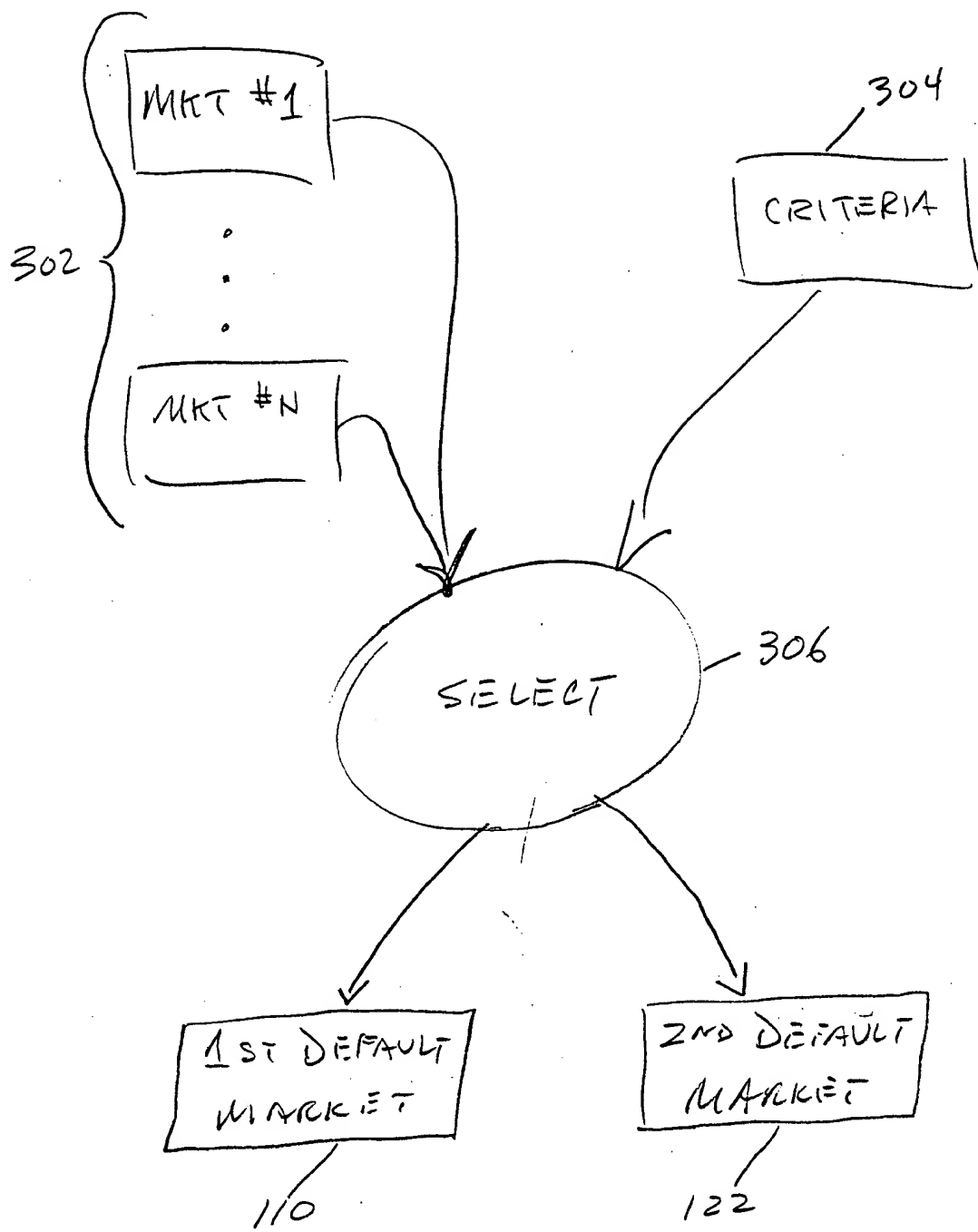
Fig. 1

ORDER	
SYMBOL	204
SIDE	206
QUANTITY	208
MPID	210
TIF	212
PRICE	214

106

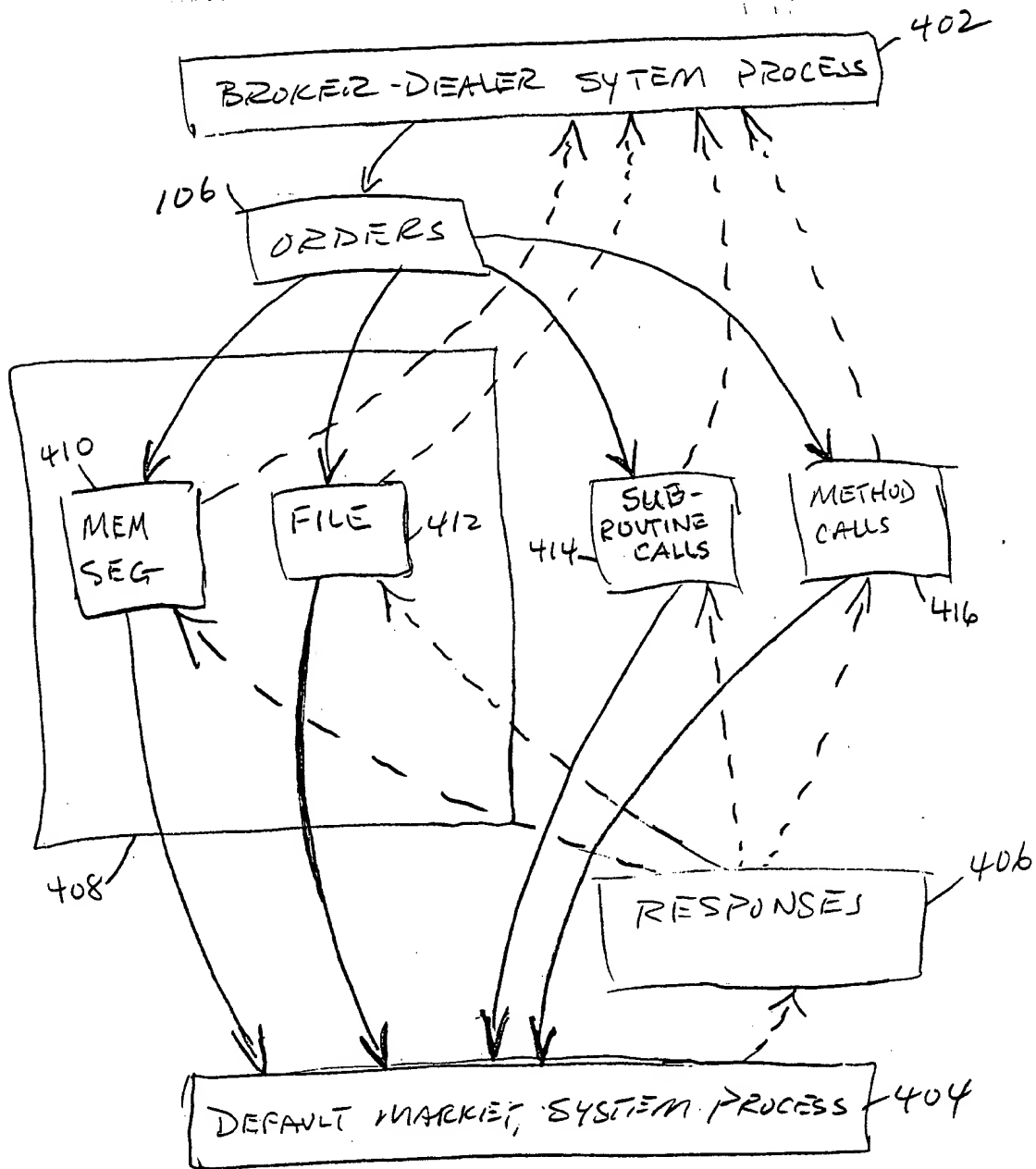
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Fig. 2



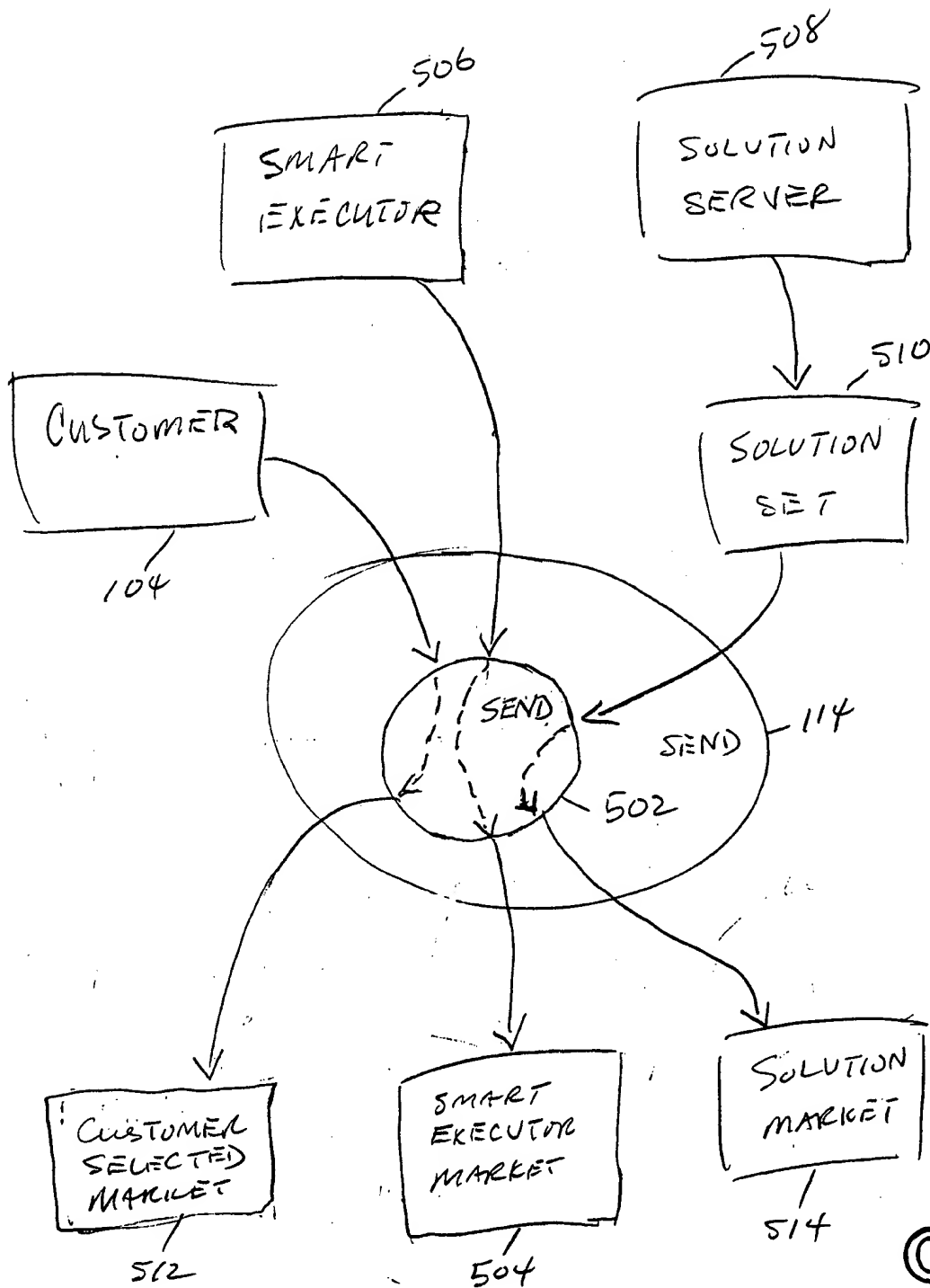
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Fig. 3



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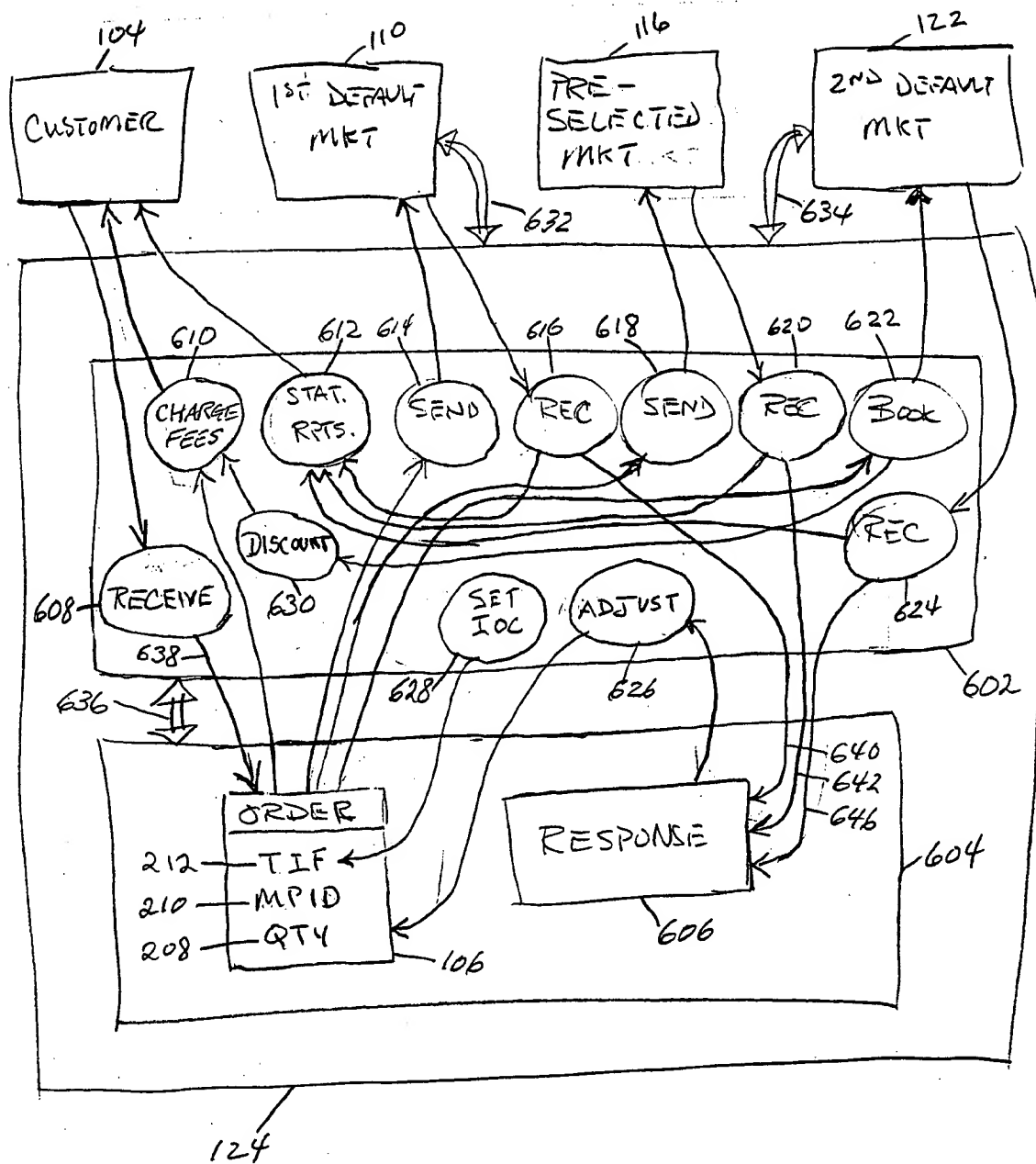
Fig. 4



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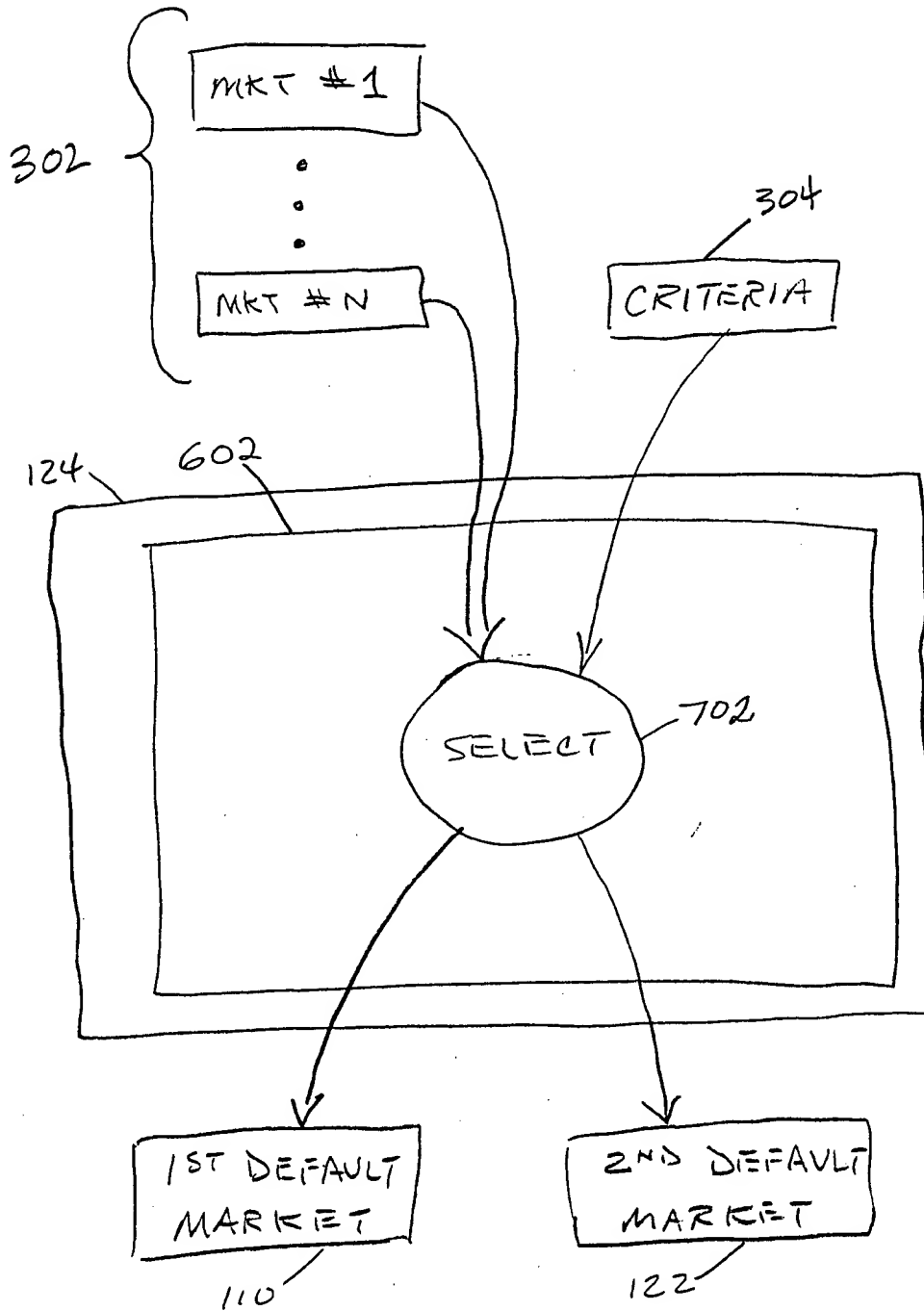
Fig. 5





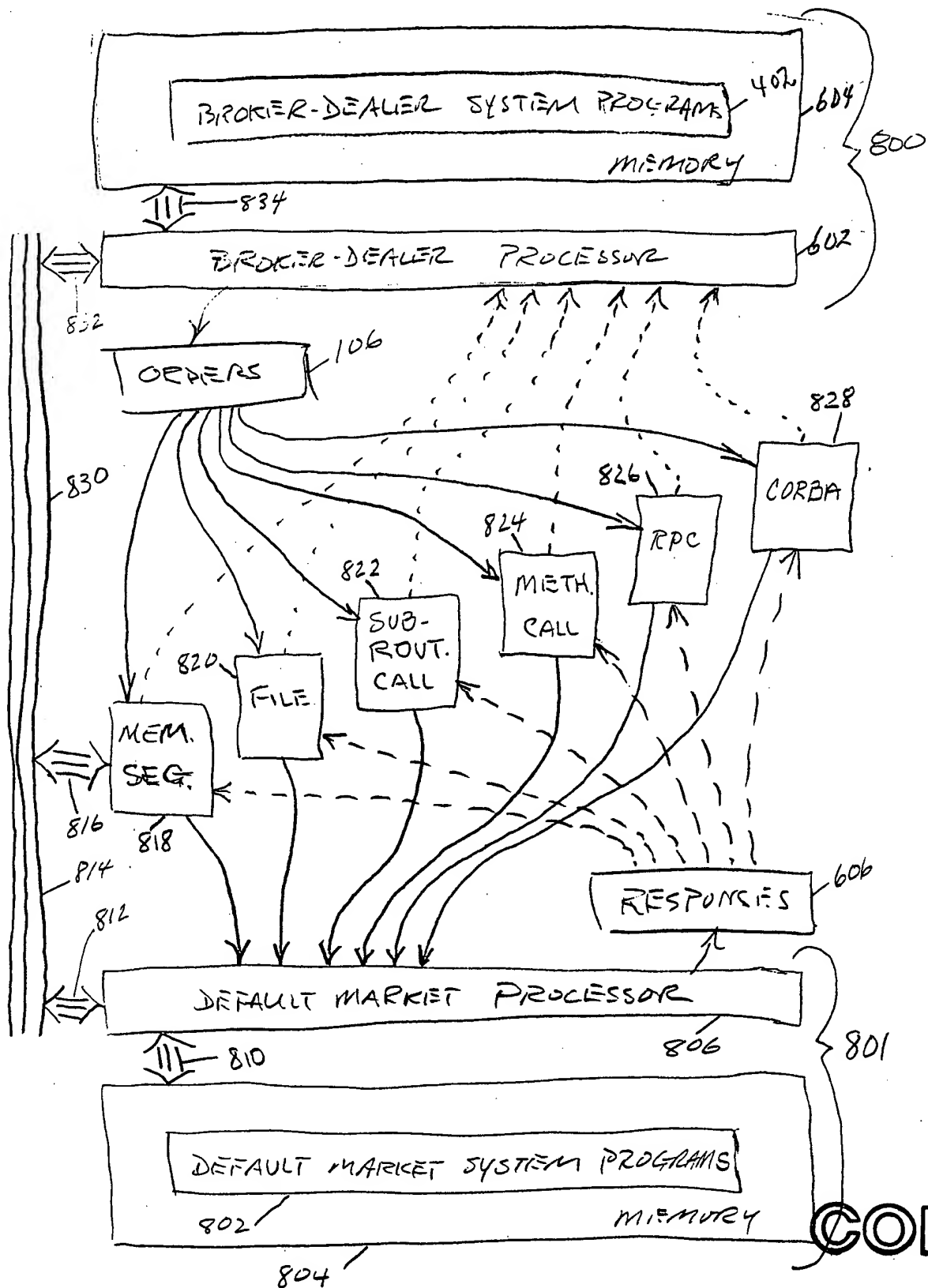
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Fig. 6



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Fig. 7



**COPY**

Fig. 8

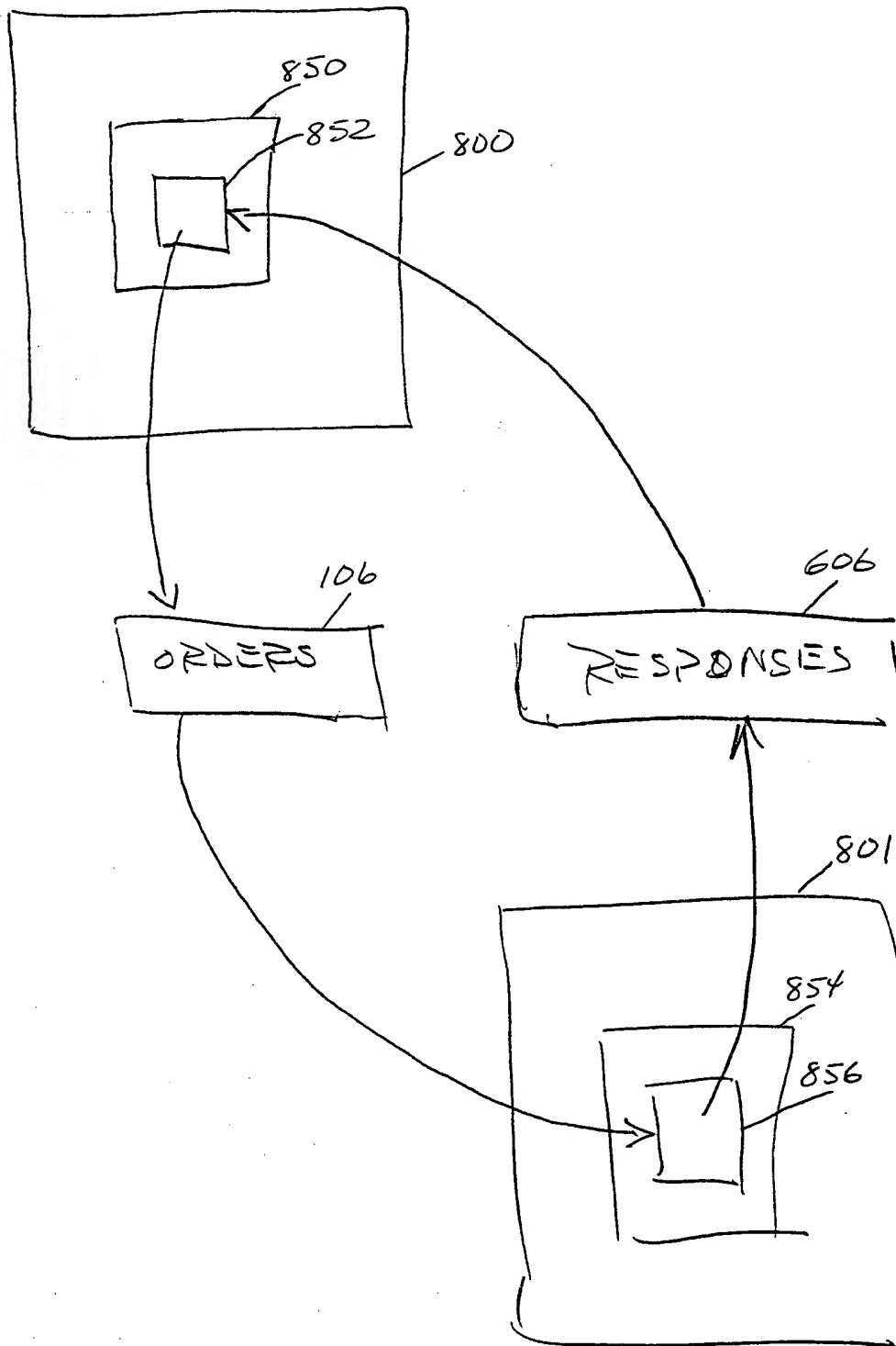
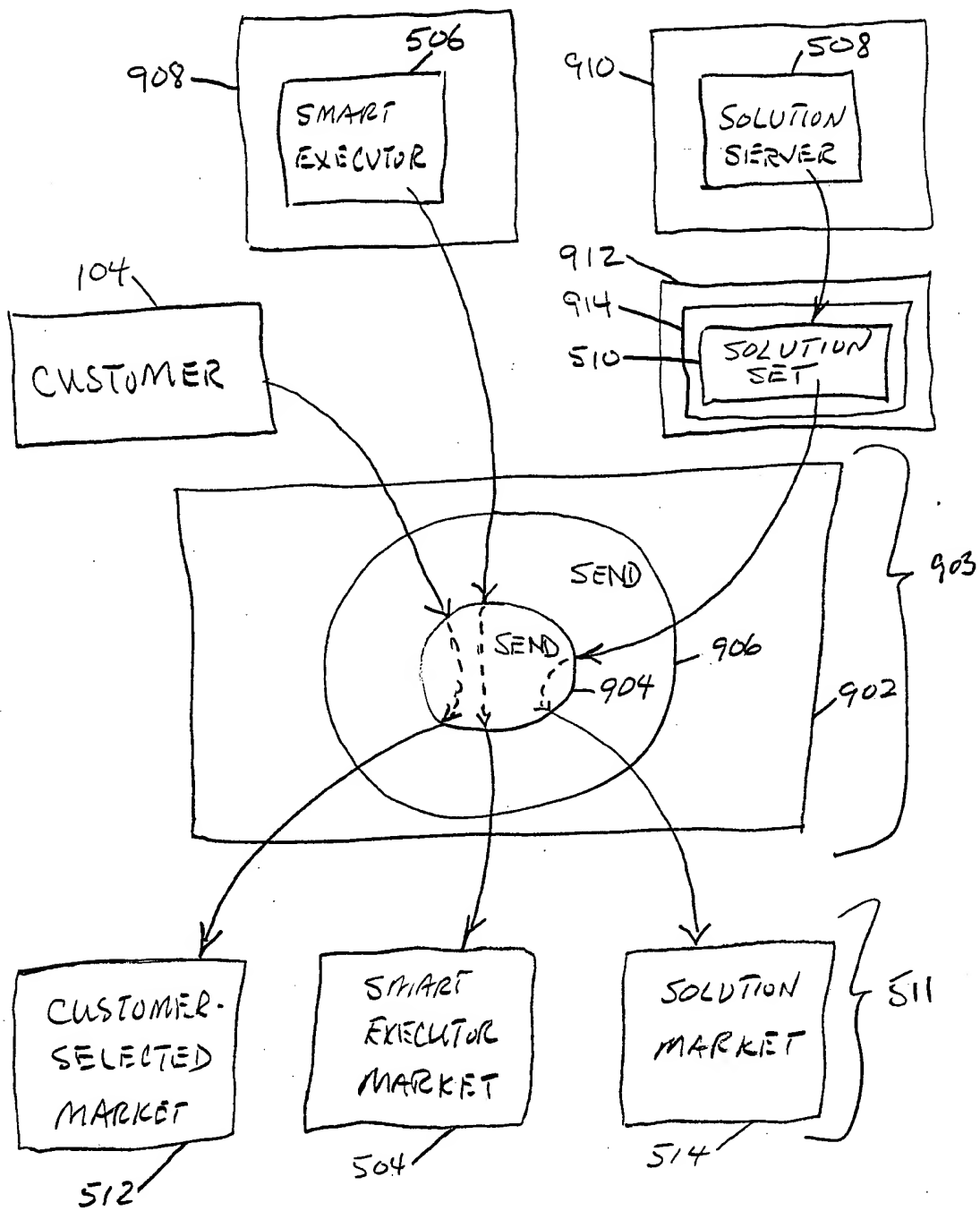


Fig. 8A



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Fig. 9

## DRAWINGS

Figure 1 is an overview flow diagram of typical embodiments.

Figure 2 is an example data structure for orders.

5 Figure 3 illustrates selecting default markets by use of market selection criteria.

Figure 4 illustrates aspects of close coupling.

Figure 5 illustrates sending orders to pre-selected markets.

Figure 6 is an overview of embodiments of a system of the invention.

10 Figure 7 illustrates a system for selecting default markets according to market selection criteria.

Figure 8 illustrates system embodiments of close coupling.

Figure 9 illustrates close coupling through calls to member methods in class objects.

Figure 10 illustrates system embodiments for sending orders to pre-selected markets.

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**COPY**



## UNITED STATES PATENT AND TRADEMARK OFFICE

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WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/643,227	08/22/2000	Omar Amanat	T30364US

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## FORMALITIES LETTER



\*OC000000005466503\*

Date Mailed: 10/12/2000

## NOTICE OF OMITTED ITEM(S) IN A NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

A filing date has been accorded to the above-identified nonprovisional application papers; however, the following item(s) appear to have been omitted from the application:

- Figure(s) 10 described in the specification.

I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the Patent and Trademark Office (PTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(i))) with evidence of such deposit must be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the PTO.

II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the PTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h)) requesting the later filing date must be filed within **TWO MONTHS** of the date of this Notice.

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the PTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) or (b).** In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the PTO, and original application papers (i.e., the original disclosure of the invention) will include only those application papers present in the PTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid delays in the prosecution of the application.

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*A copy of this notice **MUST** be returned with the reply.*

  
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Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE